Torris Lucas

Chicago, IL 60614 | torris.lucas@gmail.com | 312.956.7707

**CORE PROFICIENCIES**

Business & Gap Analysis ⬩ Business Process Workflows ⬩ Equity, Fixed Income & Derivative Trading

Investment Systems Management ⬩ IT Budget Analysis Planning ⬩ Market Data Services ⬩ TPRM ⬩ IDP Data Integration

Middle/Back Office Settlement Systems ⬩ Order Management Systems (OMS) ⬩ SaaS ⬩ ERP⬩ SAP

Portfolio Accounting Systems ⬩ Private Wealth Management ⬩ Project Management ⬩ Agile Methodology

Software Development Life Cycle (SDLC) ⬩MS 365 Dynamics ⬩ SCRUM ⬩ System Implementations & Upgrades

System Interface (SQL and ORACLE) ⬩ Team Leadership (off-shore) ⬩ Citrix Cloud (Store Front). ⬩ GAMA platform hosted (AWS) ⬩ SOC & Compliance ⬩

**technical skills**

AdTempus ⬩ Atlas ⬩ Barclays Point ⬩ BI-SAM ⬩ Bloomberg (AIM & PORT) ⬩ Bondedge ⬩ OpenVMS

DTCC ⬩ Easy-Screen ⬩ eReports ⬩ eVestment ⬩ FactSet ⬩ Fedline Advantage ⬩ FINCAD InvestorTools

FIS Trustdesk ⬩ FIX Networks ⬩ Flextrade ⬩ FXALL ⬩ FXCM ⬩ FXConnect ⬩ Globex ⬩ ITG ⬩ ITGC ⬩ Java EE ⬩ Informatica

JBOSS EAP ⬩ JIRA ⬩ SAP Fieldglass⬩ LiquidNet ⬩ Loanet US ⬩ Longview ⬩ MarketAxess ⬩ Markit ⬩ MATLAB ⬩ Microsoft CRM

Oasys ⬩ ODS ⬩ Omgeo CTM ⬩ Portia Perform ⬩ Remedy ⬩ Reuters (TIBCO) ⬩ RIMES ⬩ SalesForce ⬩ Sentinel ⬩ Service Now

SimCorp Dimension ⬩ Sophis ⬩ Spire ⬩ Tradeflow ⬩State Street⬩Vestmark⬩MS Office Suite⬩Tradeweb ⬩ Trition Black OMS and EMS ⬩ Zephyr ⬩ Crystal Reports (Charles River) ⬩Archer⬩ Electra Settlement (Saas)

**professional experience**

**IT Architect - Solutions Architect - Expert– Global Asset Management**, *Bank of Montreal,* Chicago, IL ● 4-2016 to Present

* Lead and drive the strategy and product management for several critical projects to create new service offerings and enhance internal processes for the institutional client segment Lead all aspects of large-scale and complex work streams, including; conceptualizing project scope, timelines, and dependencies; tracking and reporting of key milestones; and engaging/influencing project
* Partner with key business partners to gather, conceptualize, and define requirements which will enhance and streamline the business processes that support institutional clients.
* Support all aspects of the product life-cycle including requirement gathering, execution / agile leadership, user acceptance testing, and ongoing subject matter expertise
* Conduct primary and secondary research to define complex business problems and develop both tactical and strategic solutions.
* Demonstrated ability working in an Agile, Lean Product Development and Lean Portfolio Management environment.
* Proven abilities in building and maintaining relationships with a “client first” focus and distilling and translating complex technical requirements into actionable business requirements for communication to stakeholders for timely solution deployments and implementations
* Proven track record of creating, developing and executing enterprise level strategic initiatives with a history of successful milestone achievement.
* Played key role in project coordination and reengineering of Investment Department system architecture, directing team efforts to identify, evaluate, and implement or upgrade investment management / trading solutions.
* Forged strong vendor relationships to ensure accurate Investment / Market data system implementation of new feeds.

**Business Consultant / Product Risk Manager,** *Ariel Investments,* Chicago, IL ● 1-2016 to 4-2016

* Partnered with CIO of this private hedge fund on various vendor-related processes and tasks as related to cost, contract terms, performance/expectations, and upgrades/enhancements.
* Provided daily support and maintenance of critical business applications and related systems.
* Worked closely with cross-functional IT teams to design new or modify existing systems, IT functions, and IT processes.
* Performed gap and data analysis based on business requirements.
* Managed with system vendors and exchange technology teams on updates and changes.
* Interfaced with developers and testers throughout the SDLC.

**Senior Business Consultant/Product Mgmt.** *Legal & General Investment Management,* Chicago, IL ● 10-2014 to 1-2016

* Determine KPIs for product success, carefully monitor upon release of the product, and report results to senior management and stakeholders.
* Liaised with global development team and other parties to coordinate system integration and release.
* Performed testing, integration, and implementation for FI and EQ trading systems.
* Worked closely with business C-Level and stakeholders to define and deliver fit for purpose technology solutions, including documentation of IT functional requirements, test plan, and change and release plan.
* Tested and coordinated migration of Investment Services and Performance applications to a new datacenter while providing support to various business units.

**Senior Business Analyst/Product M.,** *UBS Global Asset Management,* Chicago, IL ● 6-2011 to 9-2014 / 5-2008 to 11-2009

* Performed technical analysis on business and technology-driven requirements.
* Designed solutions at a system and process level, and documented clear and detailed functional requirements for developers and QA team.
* Served as main liaison to business functional areas – Partnering with business stakeholders, understanding their needs, prioritizing efforts, suggesting changes / innovations, and serving as SME for their area of expertise.
* Documented requirements and developed solutions using standard or custom functionality.
* SAP System Configuration (CRM), wrote functional requirements for custom development, performed appropriate system tests, wrote system/user documentation, and trained end users on functionality.
* Coordinated implementation of BISAM solution system used for portfolio performance and attribution, multi-asset risk, GIPS composites management and reporting.
* Positioned growth by uncovering business opportunities, processing data flows, and documenting delivery timelines.

**Client Business Consultant/Product Mgmt.,** *SpringCM,* Chicago, IL ● 5-2010 to 6-2011

* Achieved consistent ROI by collaborating with several customers and sales executives to strengthen adoption and identify expansion opportunities for this small Cloud Solution firm.
* Drove success for SpringCM implementation including Enterprise Content Management industry leader through active customer outreach.
* Maximized client base by delivering exceptional service during implementation, as well as mediating certain risks.
* Led creation of large accounts, working closely with sales executives and professional services teams.
* Increased public awareness and adoption through community development, education, and training.

**Business Analyst III – Collateral Management,** *Bank of America,* Chicago, IL ● 2-2010 to 4-2010

* Advanced various successful projects related to change initiatives affecting business units, product lines, or processes at this Capital Markets bank.
* Earned distinction as a major contributor to creative design and development of project deliverables.
* Assisted implementation of Omgeo CTM (CTX) system that automated the trade confirmation process across multiple asset classes.

**Business Consultant (CRM),** *NYSE Euronext,* Chicago, IL ● 3-2007 to 3-2008

* Helped relocate AEX and CAC third-party applications traded in the NYSE platform sectors.
* Merged market data streams and combined contracts into one (BBG and Reuters) for better rates and SLAs.
* Enhanced revenue by drastically reducing development and maintenance costs for software department.
* Delivered top-caliber service to both internal and external clients, generating strong referral and repeat business volume.

**Senior Application Support Engineer,** *Actant, Inc.,* Chicago, IL ● 8-2005 to 2-2007

* Supported trading solutions for financial firms trading on the world’s major derivatives and stock exchanges.
* Helped deliver unique algorithmic programming flexibility and control to proprietary trading and market making firms, investment banks, and hedge funds. Solution worked for both exchange members and non-members.

**Senior Application Support Analyst,** *Getco LLC,* Chicago, IL ● 4-2005 to 7-2005

* Installed and managed test plans as related to UAT environment, in support of this small Chicago-based hedge fund.

**prior experience - Goldman Sachs/Goldman sachs Intl. – 1994 - 2005**

Extended record as application support analyst, market data admin, and trade floor IT analyst for *Goldman Sachs*.Facilitated all aspects of trading and settlement of securities, loans, and cash from both an IT and business perspective.

* Supported in-house developed OMS, including system startup along with all morning jobs and broker connections.
* Ensured market data availability for trading and configuration of hand-held devices for trading.
* Played key role in 3 office relocations (Chicago, London and Frankfurt).

**Education**

*DeVry University Chicago*

**Bachelor of Science in Information Technology Management**

**Associate of Arts in Electronic Engineering**

**Professional development**

Essential SDLC methodologies Agile, SCRUM, and Kanban training, *Bank of Montreal*

ITIL training, *UBS*

MISYS Financial Software Training, *NYSE*

FIX protocol training using FIXIONARY tool, *ACTANT*

Series 7 training course, *GETCO*

EQ, FI, Futures, and BOND trading 101, *Goldman Sachs*