

# YALALA PAVAN KUMAR

RISK AND COMPLAINEE  
– SENIOR AML ANALYST

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boduppal, Hyderabad - 500039

## OBJECTIVE

To work for a professional organization which offers challenging opportunities, an excellent cooperative working environment to utilize my professional and technical knowledge, enabling me to improve my abilities, develop my functionality, and improve the organization plans and work procedures.



## JOB

### SENIOR AML ANALYST

April 2015 – till date

#### Role as SME:

- ✓ *Played a major as a trainer in the transition of UK TM process from HSBC to Wipro (vendor).*
- ✓ *Represented HSBC (client) - Marks and Spencer's banking (Anti Money laundering) Process as a trainer and provided training to the staff of the vendor (Tata Consultancy Services) comprising of 22 members including 2 team leaders.*

### HSBC BANK PLC – HYD, INDIA.

Currently working as 'AML Analyst' for Transaction monitoring team operations, AML transaction monitoring for suspicious triggers on CMB and RBWM.

#### Roles and Responsibilities in Transaction Monitoring:

- Flag up potential /positive matches escalate to next level of investigations/line managers within pre-agreed time scales, followed by a mail on the same day.
- Liaison with Relationship Managers to obtain information on business activities and financial transactions to better understand the risk exposure of the bank and document findings pursuant to AML policies.
- Prepare Unusual Activity Report (UAR), including detailed narratives on transaction Analysis and entities research results.
- Minimal Perform on KYC for new and existing clients.
- Proficiently analyze Source of wealth / Source of Funds and destination of funds to identify potential money laundering typologies and other unusual or potentially suspicious behavior.
- Conduct comprehensive research, using "world check and GOOGLE, RDC, Negative string search" to establish company profiles and request KYC information from clients.
- Update a trigger for KYC profiles in accordance with Group Policy, which includes undertaking due diligence, SLA service level agreement and obtaining documents as required from the client/Relationship Manager (RM) and Ensure KYC escalation policies are followed and issues are escalated to Management on time.
- Reexamine facts already identified as well as identify and consider alternative interpretations of data and findings and recognize the importance of independent verification of information, data, and conclusions.

### SENIOR OFFICER

- Risk and compliance  
JAN 2013 - APRIL 2015

### ICICI BANK LTD – HYD, INDIA.

- Responsible for auditing the day to day running and operations of Administration team and Risk and compliance, while at the same time creating a professional, helpful and customer friendly environment for staff to work in.

#### DUTIES:

- Ensuring the smooth running of basic banking transactions and contributing towards policy making.
- Quality analyst and penetration testing: verifying the risk involved critical requests taken by the agents and quality audits to prevent from financial and reputation loss.
- Audit and penetration testing on cases captured by frontend officers in order to serve the customers and reporting to management on priority.

- MIS preparations for daily, weekly and monthly basis and Maintain up-to-date records and files in systematic order in excel.
- Analysis on work force management and bringing out the feasibilities of process.



## EDUCATION

MASTER OF  
BUSINESS  
ADMINISTRATIVE

BACHELOR OF  
COMMERCE  
2007 - 2010

**International Finance** at Sai-Sudhir PG College from  
Osmania University, Hyderabad.

**Computers / Accounts** at Nrupatunga Degree College from  
Osmania University, Hyderabad.



## SKILLS

### MI's Experience Activities performed

- ✓ Perform data operations with formulas and built-in functions.
- ✓ Visualize data through conditional formatting and charts.
- ✓ Organizing data interactively with tables and pivot tables.
- ✓ Implement scenarios with what-if analysis.
- ✓ Analyze data with statistical and probabilistic add-in tools, pivot tables.
- ✓ Optimize formula results with solver add-in.
- ✓ Using lookup, reference, text to column, remove duplications, grouping, links and Sparkline's.
- ✓ Workbook security and protection.
- ✓ Analyzed the data using data enhancement including data reduction, data feature engineering and data transformations, Imputation of missing values, and other data cleaning, handling, data manipulation techniques.
- ✓ Performed exploratory analysis to identify significant patterns in the data.

MICROSOFT EXCEL	<div><div></div></div>
MICROSOFT WORD	<div><div></div></div>
MICROSOFT ACCESS	<div><div></div></div>
MICROSOFT PPT	<div><div></div></div>

- ✓ **Data Analysis.**
- ✓ **Prioritizing.**
- ✓ **Critical Thinking.**
- ✓ **Delegating.**
- ✓ **Tableau**
- ✓ **Python**
- ✓ **R**

- ✓ Completion of Data Science Program (March 2020) with the help of applied statistics using tools like Python, R, data manipulation using EDA method, SQL, Tableau, Machine Learning, and Deep Learning (basics).

### Projects handled:

- ✓ Prepared the MI's for the feasibility study conducted for reduction of RFI'S across the various regions using regression model with 91.88 % of accuracy.
- ✓ Analyzed and reviewed the number of escalations and also provided an observation to business area on how to reduce this by way of enhanced procedural understanding.
- ✓ Prepared the tracker for the whole team, which also shows up the dynamic dashboards for a quick overview and analysis of the current trend and situation across the region.
- ✓ Performs testing, reconciliations and ad-hoc issue resolution for report creation, design, and deployment.

Name: Y L Pavan Kumar

Date: