

PUNAM GUPTA

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CAREER OBJECTIVE:

Seeking a position within the financial industry in order to prevent criminal transactions from taking place using extensive experience and to achieve Professional Excellence in the field of Compliance

PROFESSIONAL EXPERIENCE with Paymentwall India Pvt LTD- Merchant onboarding and KYC specialist

Duration: (July 2019 to till date)

- In charge to investigate merchant risk transactions on Paymentwall platform and take appropriate actions based on processes, tools and high judgement decisions.
- Onboarding merchants across the globe
- Handling all the VVIP merchants KYC & onboarding
- 200+ payment system onboarding (Wechat, Alipay, Yandex, Tmoney, Tossplay, Amazonpay, Klarna e.t.c)
- Credit Card onboarding (VISA, Mastercard & Amex)
- Trademark, License & copyrights agreement verification of the goods sold on the website
- Set-up risk scores basis business category and business locations
- Website policies screening (Terms & Services, Privacy, Refund Policy, PCI DSS)
- Audit report on all the existing merchants based on Risk levels (High risk, Medium risk, Low risk)

PROFESSIONAL EXPERIENCE at Standard Chartered bank- Transaction Monitoring for Correspondent Bank

May 2017 to July 2019

Responsibilities as a Senior Analyst:

- Investigating alerts in Assess/Analyze stage to identify for potential money laundering risks in the Bank.
- Ensure efficient identification and monitoring of suspicious activities and transactions
- Assist RFI group by providing reports on potential money laundering money laundering and
- Ensure timely, efficient reporting of suspicious /Unusual transaction behavior to the ACT Level
- Assist and updating in identifying systemic procedural as Guidance notes/ Addendum

PROFESSIONAL EXPERIENCE with Goldman Sachs: Regulatory Due Diligence Team -Regulatory Analyst

Duration: (Feb 2016 to Feb 2017)

Regulatory Due-Diligence is a key part of the Client On-boarding Team. The RDD team is a client facing team responsible for the processing of clients regulatory documents to meet the US/EMEA regulatory requirements.

RESPONSIBILITIES:

- Liaise with external and internal clients to fulfill the regulatory requirements on client records (While onboarding Client ,COB)
- Work with the team to ensure compliance of regulatory needs for all in-scope Goldman Sachs clients in a timely manner by processing all CFTC regulatory documents like CFTC DODD Frank protocols and Initial margin Segregation and CFTC cross border representation
- Also help the customer in submitting their regulatory documents via MARKIT over a call.
- Experience in processing Dodd Frank Protocols and Initial Margin Segregation.

TECHNICAL SKILLS and CORE INTEREST

- Handle multiple priorities and deadlines concerning review of high risk clients.
- Initiating, Planning and organizing the work approaches
- High level of initiative, Excellent Team player and problem solver.
- Good interpersonal and communication skills.
- Ability to manage multiple tasks in an intense environment.
- Demonstrate team and leadership skills

I Punam Gupta, hereby declare that all the details furnished above are true to the best of my knowledge.

Place: Bangalore

Punam Gupta

Date: