SATISH CHINTA

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**DOB**: May 10, 1994,

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**Career Objective:**

To work with a progressive organization and seek a challenging career which nourishes my passion and excel by grabbing the opportunities to learn new technologies, accept newer responsibilities and thereby enhance and sharpen my creative and analytical skills for the benefit of the organization and even myself.

**Academic Qualification:**

* Completed PGDBM / MBA in Indian School of Business Management with dual specialization (international finance management and banking management) in the year 2018
* Completed B. Tech, (Mechanical) from JNTU University, Kakinada in the year 2015
* Completed Intermediate from Vasu Junior College in year 2011
* Completed S.S.C from Z.P high school in year 2009

**Work Experience-1:**

Department : Financial Crime Compliance Operations

Location : HSBC Global Processing Data, Visakhapatnam, Andhra Pradesh, INDIA

Period : 28th July 2016 – Till date

Designation : AML Associate (Client Screening)

**Work Experience- 2:**

Department : Global Financial Crime Compliance Operations

Company& Location: J.P. Morgan Bank, Hyderabad, Telangana, INDIA

Period : 2nd March 2020 – 23rd October 2020

Designation : Senior Team Member(Client Screening)

**Work Experience- 3:**

Department : Client Support-Onboarding Team

Company& Location: State Street Services, Hyderabad, Telangana, INDIA

Period : 27th October 2020 – Till date

Designation : AML BU CoE -Senior Associate(Full Kyc)

**JOB DESCRIPTION @ HSBC & J.P Morgan:**

* + In accordance with Anti-Money Laundering Requirements & in-line with Due diligence, Financial crime compliance operations & Clients Operations at **HSBC & J.P Morgan** plays a major key role in safeguarding the bank’s financial reputation in all the ways and in order to maintain security,confidentiality&customer information up to date we perform Customer screening & Know-your-client (“KYC”) and (“KYI”) reviews on all the New clients and Existing clients which is being adopted,liasing with business, reviewing KYC documentation, Categorization of client based on risk types .Ensuring line of business is in compliance with applicable laws, rules, regulations, and corporate policies. The checks and reviews are made in strict accordance with regulatory requirements and the bank’s internal policies. Conducting qualification reviews of high and moderate-risk customers and determine the appropriate risk rating. We review and verify customer KYC documents and compare with the WORLD CHECK DATA to avoid financial crime being involved with our organization also to protect company’s reputation, categorizing the risks based on the analysis and investigation.

**KEY RESPONSIBILITIES:**

* We perform Customer screening based on certain customer related information for all our customers and third parties also the staff, by following the rules and procedures of global policies which are made with strict accordance with all regulatory requirements. We review customer documents and compare with the WORLD CHECK DATA in order to avoid financial crime being involved with our organization, categorizing the risks based on the analysis and investigation.
* Undertake reviews to identify possible patterns of money laundering and terrorist financing activity, ensuring compliance with applicable internal policies, procedures and external regulations.
* Identifying and researching the patterns, transactions and customer data to detect, prevent, mitigate and report suspicious activity related to money laundering, terrorist financing and structuring
* To investigate and research, verify and analyse HSBC customers against the defined watch lists consisting of terrorists, Fraudsters, Money Launderers, Drug Traffickers, Sanctioned Individuals or Entities and Politically Expose Persons and discount/confirm a match by comparing all the available information.
* SCDM and OWS are group standard compliance client screening application automatically compares customer’s data against defined watch lists provided by World check, Internal watch list database and various local lists. In the event of the possible match, an alert is generated for a manual review
* Identifying and investigating potential /confirmed matches, reporting to onshore Money Laundering Control teams and notify all the business areas where the customer holds a relationship.
* Performing screening for all the new customers and existing customers adopted in INDIA, CANADA, UK, Middle East and North Africa regions as per framework for effective prevention of Money Laundering and Terrorist Financing
* Working on a special project PEP (politically exposed people) which deals with basic and primary screening of customers against the PEP
* To approach logically and ensuring the Production, Quality and Compliance Parameters achieved, Sending mails to SCC Team if a True match is identified and raising RFI’s, Involving in business calls for process calibrations conclude whether further investigation is warranted based on a reasonable assessment of information obtained and to escalate unusual or suspicious activity, after performing due diligence.
* Hands on training on Transaction Monitoring and support the team on spike in volumes.
* Cross trained and hands on experience on manual queues which directly deals with On-shore teams.
* Verifying and approving new accounts/ Periodic Review for the clients adopted in APAC (Singapore & Hong Kong), UK & Netherlands regions. Supporting KYC requirements for Global Transaction Banking, Global Markets and Commercial Banking for new clients, across multiple business lines, ensuring AML Regulations and policies and procedures are maintained and adhered for effective prevention of Money Laundering and Terrorist Financing
* AML KYC Remediation of the existing customers. End to end review and customer onboarding of the clients KYC as per the global standards. Analysing the ownership structures and level of risk involved by the Legal representatives and UBO’s. Following the risk levels (SDD, CDD & EDD) of the customers.

**JOB DESCRIPTION @ State Street Services:**

* Providing technical and specialist support to the BU CoE team
* Ensure that all necessary documentation takes place in line with the KYC customer matrix provided by compliance
* Liaise with the Shared services team to ensure timely completion of client onboards.
* Ensure State Street AML/KYC Compliance Policy requirements are met and that CIP/CDD procedures are followed
* Manage the completion of CIP/CDD documentation for all customers in line with applicable AML Policies & Procedures
* Notifying local management of operational issues.
* Ensuring KYC / Anti-Money Laundering risk assessments using corporate compliance tools and methods prior to account opening are completed before account opening and on an on-going basis for new accounts.
* Conduct 4- eye check on cases submitted by the reviewers.
* Provide assistance to managers by preparing status reports for senior management.

**Applications used:**

* + Skilled in applications like” PCR”, “NORKOM”,” OWS (Oracle watchlist screening)”, for performing searches to pull the negative and “NGT-Next Generation Tool” for relevant information of the client and updating the client information
	+ Source Systems:OWS, World check, CDD tool, NGT, GDR, HUB, client connect, GWIS, Echamps
	+ Have been trained in Non- Global Standards, Global Standards (GS), Global Minimum Standards AML Scenarios (GMSAS) and L1/L2 Streamlining
	+ Knowledge on World Check, Lexis-Nexis and negative searches, Ability to interpret KYC policies, procedures and laws and put into practice
	+ Knowledge on Business information sources such as ORBIS, One Source, Bloomberg, etc, is an added advantage.
	+ Ability to perform KYC reviews on different entity types, such as Trusts, Hedge Funds, regulated entities, SPV etc

**Key Achievements:**

* Won “Raising Star Award” for the best performer as a new joiner and achieved” Super Star Award” twice for my best performance in the process and won many” Top Performer Awards” for my continuous unbeaten performances in the team.
* Acted as a First level reviewer of potential customer accounts against the list of sanctioned individuals, entities and countries provided by the Regulators and Internal Watch list.
* Later promoted as second level reviewer in the process.
* Later promoted as third level reviewer in the process.

**Strengths:**

* + Organized & Flexible at work
	+ Good Team Player
	+ Quick learner
	+ Positive attitude

**Declaration:**

I hereby declare that all information provided above is true to the best of my knowledge.

**YOURS SINCERELY**

**(CHINTA SATISH*)* Place: Visakhapatnam**